



## General Grant Information

|   |   |                      |               |                      |           |
|---|---|----------------------|---------------|----------------------|-----------|
| Country   | Zimbabwe  |                      |               |                      |           |
| Grant Number  | ZIM-809-G11-H   | Component            | HIV/AIDS      | Round                | 8         |
| Grant Title   | Addressing critical gaps in HIV Prevention, Treatment, Care and Support |                      |               |                      |           |
| Principal Recipient                                     | United Nations Development Programme, Zimbabwe                          |                      |               |                      |           |
| Total Lifetime Budget                                   | \$ 294,571,555  | Phase 1 Grant Amount | \$ 84,641,215 | Phase 2 Grant Amount |           |
| Grant Start Date  | 01 Jan 2010   | Phase 1 End Date     | 31 Dec 2011   | Phase 2 End Date     |           |
| Disbursed Amount  | \$ 57,906,038   | % of Grant Amount    | 68%           | Latest Rating        | A1        |
| Time Elapse (at the end of the latest reporting period) | 15 months   | % of Grant Duration  | 63%           | Proposal Lifetime    | 60 months |

### **New GPR Report - Table of Contents**

*(For ExternalVersion)*

#### **1. Program Description and Contextual Information**

- 1.1. Program Description Summary
- 1.2. Country Latest Statistics
- 1.3. Comments on Key Discrepancies between Approved Proposal and Grant Agreement
- 1.4. Conditions Precedent

#### **2. Key Grant Performance Information**

- 2.1. Program Goals, Impact and Outcome Indicators
- 2.2. Programmatic Performance
  - 2.2.1. Reporting Periods
  - 2.2.2. Program Objectives, Service Delivery Areas and Indicators
  - 2.2.3. Cumulative Progress To Date
- 2.3. Financial Performance
  - 2.3.1. Grant Financial Key Performance Indicators (KPIs)
  - 2.3.2. Program Budget
  - 2.3.3. Program Expenditures
  - 2.3.4. Graph - Cumulative Program Budget, Expenditures and Disbursement to Date
- 2.4. Progress Update and Disbursement Information
- 2.5. Contextual Information

## 1. Program Description and Contextual Information

### 1.1. Program Description Summary

### 1.2. Country Latest Statistics

| Background and Health Spending                                       | Estimate   | Year      | Source   |
|--|------------|-----------|--|
| Pop age 15-49 (in 1000s)   | 6,367      | 2010      | United Nations. World Population Prospects: The 2006 Revision  |
| Physicians (number)  | 2,086      | 2000-2009 | WHO. World Health Statistics 2010  |
| Nursing and midwifery personnel (number)                             | 9,357      | 2000-2009 | WHO. World Health Statistics 2010  |
| Under-5 mortality rate (per 1,000 live births)                       | 90         | 2009      | UNICEF. Child mortality database ( <a href="http://www.childinfo.org/mortality_ufrmrcountrydata.php">http://www.childinfo.org/mortality_ufrmrcountrydata.php</a> ) accessed on 31 March 2011                                       |
| Income level   | Low income | 2010      | World Bank. World Development Indicators database  |
| Total health expenditure per capita (USD)                            | 79         | 2007      | WHO. World Health Statistics 2010  |
| ODA commitments in health sector (Current US\$ millions)             | 62         | 2009      | .OECD  |
| ODA commitments in all sectors (Current US\$ millions)               | 857        | 2009      | .OECD  |
| HIV/AIDS   | Estimate   | Year      | Source   |
| Adult HIV prevalence (%)   | 14         | 2009      | UNAIDS report on the global AIDS epidemic .2010  |
| People living with HIV   | 1,200,000  | 2009      | UNAIDS report on the global AIDS epidemic .2010  |
| AIDS-related deaths  | 83,000     | 2009      | UNAIDS report on the global AIDS epidemic .2010  |
| Orphans due to AIDS  | 1,000,000  | 2009      | UNAIDS report on the global AIDS epidemic .2010  |
| Estimated number of people needing ARV therapy (WHO 2010 guidelines) | 640,000    | 2009      | WHO. Towards Universal Access Progress Report 2010   |
| Estimated number of people needing ARV therapy (WHO 2006 guidelines) | 450,000    | 2009      | WHO. Towards Universal Access Progress Report 2010   |
| Estimated number of people receiving ARV therapy                     | 218,589    | 2009      | WHO. Towards Universal Access Progress Report 2010   |
| People currently on ART  | 218,589    | end 2010  | Global Fund-supported programs, end 2010 results   |
| DALYs ('000), HIV/AIDS   | 5,010      | 2004      | WHO. ( <a href="http://www.who.int/healthinfo/global_burden_disease/gbddeathdalycountryestimates2004.xls">http://www.who.int/healthinfo/global_burden_disease/gbddeathdalycountryestimates2004.xls</a> ) accessed on 01 April 2011 |

## 1.3. Comments on Key Discrepancies between Approved Proposal and Grant

## 1.4. Conditions Precedent

| CP # | Condition Precedent   | CP Type | Tied To      | Terminal Date | Is currently met? | Comments  |
|------|---|---------|--------------|---------------|-------------------|---|
| 1    | The first disbursement of Grant funds to the Principal Recipient is subject to the satisfaction of each of the following conditions:<br><br>a. Delivery by the Principal Recipient to the Global Fund of a plan for recruitment of the staff for its Program Management Unit that have been included in the detailed budget for the Program, which includes terms of reference and anticipated dates for appointment of each staff member (the "Recruitment Plan");   | Others  | Disbursement | 15.Dec.09     | Yes               | The recruitment plan was submitted to The Global Fund on 14 Nov 09  |
| 2    | b. The written approval by the Global Fund of the Recruitment Plan.   | Others  | Disbursement | 15.Dec.09     | Yes               | The recruitment plan has been approved by the country team on November 16,2009  |
| 3    | The second disbursement of Grant funds to the Principal Recipient is subject to the satisfaction of each of the following conditions:<br><br>a. appointment of the Principal Recipient to its Program Management Unit of people with appropriate qualifications and experience to serve in the positions indicated and consistent with the timing indicated in the approved Recruitment Plan  | Others  | Disbursement | 15.May.10     | Yes               | The PR has a functional PMU, with critical international and national staff on board.   |
| 4    | b. delivery by the Principal Recipient to the Global Fund of a plan to build the capacity for each of its Sub-recipients (the "Capacity-Building Plan") which reflects appropriate capacity-building measures to address the Sub-Recipients' weaknesses in financial and program management and monitoring and evaluation that:<br><br>i. are indicated in the Country Audit of the Round 5 Global Fund Grants to Zimbabwe issued by the Global Fund Office of the Inspector General on 24 March 2009 (Audit Report No: TGF-OIG-08-004);<br><br>ii. are listed in the management letter dated 5 November 2009 delivered by the Global Fund to the Principal Recipient with this Agreement; and<br><br>iii. will enable completion by the National Aids Council of the national HIV/AIDS monitoring and evaluation plan; and | Others  | Disbursement | 15.May.10     | Yes               | The PR (UNDP) has submitted the capacity building plan to the Regional Team. The plan has been shared with the country team, the CCM and LFA. The plan adequately addresses the capacity issues raised by the OIG and the SR capacity assessments conducted by the LFA. |
| 5    | c. delivery by the Principal Recipient to the Global Fund of a revised Performance Framework for this Agreement which incorporates indicators and targets which measure progress on implementation of the Capacity-Building Plan.   | M&E     | Disbursement | 15.May.10     | Yes               | This condition has been met. The PR submits quarterly updates on the progress made in the implementation of the capacity building plan. At time of Phase 2 review , an evaluation report of the plan be presented.  |

ZIM-809-G11-H

Last Updated on: 28 June 2011

| CP # | Condition Precedent   | CP Type | Tied To      | Terminal Date | Is currently met? | Comments   |
|------|---|---------|--------------|---------------|-------------------|--|
| 6    | <p>Condition Precedent to Disbursement by the Principal to Recipient Sub-Recipients</p> <p>a. In accordance with Article 10(b)(1), prior to disbursement of grant funds to a Sub-Recipient, the Principal Recipient shall have executed an agreement with such Sub-recipient that is consistent with this Agreement, including:</p> <p>i. the required representations regarding anti-terrorism;</p> <p>ii. appropriate work plans and budgets; and</p> <p>iii. the name and bank account details of the financial institutions into which Grant funds shall be disbursed</p>   | Finance | Disbursement |               | Yes               | <p>The PR (UNDP) has shared the SR agreements with the Regional Team. The signed agreements addresses all the points in the CP. UNDP used standard template that covers all mentioned in the CP. Agreements include Annex 4 with approved work plans and budgets and Annex 6 with Bank account details.</p>  |
| 7    | <p>SPECIAL CONDITION</p> <p>1. The Principal Recipient shall select Sub-recipients in accordance with its regulations and rules. Before disbursing grant funds to any Sub-recipient, the Principal Recipient shall notify the Global Fund of the selection of the Sub-recipient. In the case of a Sub-recipient that is not a UN agency, the Global Fund may, at its election, conduct an assessment of the Sub-recipient. The Principal Recipient shall address the assessment recommendations by risk mitigation measures satisfactory to both the Principal Recipient and the Global Fund.</p>   | Others  |              |               | Yes               | <p>The agreements were signed with the SR agreed during grants negotiations and approved by the CCM meeting on 23 September 2009;</p> <p>SR agreements signed with NAC on 8th January; NatPharm on 19 January; ZAN on 21st January; HSB on 22 January and MoHCW on 12 February. All SSR agreements signed: CPCPZ; EMCOZ/ ZCTU; MOPSLSW; UZ-Dept. of Med; ZNFPC; Hospaz; PSI/ Safaids; ZNNP+; UNFPA</p> |
| 8    | <p>2. The Principal Recipient and the Global Fund appreciated the conditions warranting the country audit by the Global Fund office of the Inspector General (OIG) and the report thereof on 24 March 2009 (Audit report No: TGF-OIG-08-004)</p>  |         |              |               | Yes               | <p>This has been complied with in the capacity building plan developed by the PR</p>   |
| 9    | <p>3. That no later than 30 days after the signing of the grant, the Principal Recipient and the Global Fund shall identify those recommendations from OIG Report that address capacity gaps within SRs as they relate to the areas of Financial and Program Management, Monitoring and Evaluation required for the implementation of this Program. The identified gaps will be reflected in the " capacity building plan" detailed in the Condition Precedent 2b above . The Global Fund and the Principal Recipient agree that the identification process will also take into account the financial and non-financial resources required to implement the Plan.</p> |         |              |               | Yes               | <p>OIG recommendations that address capacity gaps that relate to the areas of Financial and Program Management, Monitoring and Evaluation were incorporated into the Capacity Building Plan.</p>   |
| 10   | <p>4. No later than one year after the effective date of this Grant Agreement, the Principal Recipient shall provide to the Global Fund a comprehensive plan produced in consultation with Ministry of Health for implementation of PMTCT services, which includes a monitoring and reporting system for tracking maternal to child transmission rate.</p>  |         |              |               | Yes               | <p>The Ministry of Health now has systems to report PMTCT transmission rate.</p>   |

ZIM-809-G11-H

Last Updated on: 28 June 2011

| CP # | Condition Precedent   | CP Type     | Tied To | Terminal Date | Is currently met? | Comments   |
|------|---|-------------|---------|---------------|-------------------|--|
| 11   | 5. The parties acknowledge that as the date of the signature of this agreement , the Global Fund has not approved the plan for procurement, use, and supply management of health products ("the PSM Plan ") consistent with Article 18 of the standard terms and conditions of this agreement. Consistent with such standard terms and conditions, the disbursement by Global Fund or use by the Principal Recipient of Global Fund for the procurement of health products is conditional upon the approval by the Global fund of the PSM plan. | Procurement |         |               | Yes               | The PSM plan has been approved   |
| 12   | 6. The parties agree that if the aggregate value of the Health Products included in the PSM Plan is less than the value of the Health Products included in the detailed budget for the Program, the Principal Recipient may provide to the Global Fund a plan for the use of such amount in Program, which shall be subject to written approval of the Global Fund.   | Procurement |         |               | Yes               | The PR has provided a plan for the use of the savings; the identified savings will be used for program activities only upon approval from the Global Fund. |

## 2. Key Grant Performance Information

### 2.1. Program Goals, Impact and Outcome Indicators

#### Goal 1 Reduced number of new HIV infections among adults and children

| Impact indicator | % of adults aged 15-49 who are HIV infected |        |        |        |                            |        |        |        |        |         | Baselines                |      |
|------------------|---|--------|--------|--------|----------------------------|--------|--------|--------|--------|---------|--------------------------|------|
|                  |   |        |        |        |                            |        |        |        |        |         | Value                    | Year |
|                  |   |        |        |        |                            |        |        |        |        |         | Female: 11% / Male: 4.2% | 2008 |
|                  | Year 1                                      | Year 2 | Year 3 | Year 4 | Year 5                     | Year 6 | Year 7 | Year 8 | Year 9 | Year 10 |                          |      |
| Target           | Female: 10.2%<br>Male: 3.9%                 |        |        |        | Female: 8.3%<br>Male: 3.5% |        |        |        |        |         |                          |      |
| Result           |   |        |        |        |                            |        |        |        |        |         |                          |      |

| Impact indicator | % of adults and children with HIV still alive 12 months after initiation of antiretroviral therapy (extend to 2, 3, 5 years as program matures) |        |        |        |        |        |        |        |        |         | Baselines |      |
|------------------|---|--------|--------|--------|--------|--------|--------|--------|--------|---------|-----------|------|
|                  |   |        |        |        |        |        |        |        |        |         | Value     | Year |
|                  |   |        |        |        |        |        |        |        |        |         | 75%       | 2009 |
|                  | Year 1  | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | Year 10 |           |      |

| Target | N:<br>D:<br>P: 86% | N:<br>D:<br>P: 87% | N:<br>D:<br>P: 88% | N:<br>D:<br>P: 89% | N:<br>D:<br>P: 90% | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % |
|--------|--------------------|--------------------|--------------------|--------------------|--------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Result | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % |

| Impact indicator | % of infants born to HIV infected mothers who are infected |        |        |        |        |        |        |        |        |         | Baselines |      |
|------------------|--|--------|--------|--------|--------|--------|--------|--------|--------|---------|-----------|------|
|                  |  |        |        |        |        |        |        |        |        |         | Value     | Year |
|                  |  |        |        |        |        |        |        |        |        |         | 30%       | 2009 |
|                  | Year 1   | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | Year 10 |           |      |

| Target | N:<br>D:<br>P: 30% | N:<br>D:<br>P: 29% | N:<br>D:<br>P: 29% | N:<br>D:<br>P: 28% | N:<br>D:<br>P: 27% | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % |
|--------|--------------------|--------------------|--------------------|--------------------|--------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Result |                    |                    |                    |                    |                    |                  |                  |                  |                  |                  |                  |                  |

#### Goal 2 Reduced morbidity and mortality due to HIV and AIDS in Zimbabwe

| Outcome indicator | % of women and men aged 15-49 who have had sexual intercourse with more than one partner in the last 12 months |        |        |        |        |        |        |        |        |         | Baselines                 |      |
|-------------------|--|--------|--------|--------|--------|--------|--------|--------|--------|---------|---------------------------|------|
|                   |  |        |        |        |        |        |        |        |        |         | Value                     | Year |
|                   |  |        |        |        |        |        |        |        |        |         | Female: 9.0%, Male: 28.4% | 2007 |
|                   | Year 1   | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | Year 10 |                           |      |

| Target | Female: 8.3%<br>Male: 26.4% |  | Female: 7.8%<br>Male: 24.5% |  | Female: 6.2%<br>Male: 19.7% |  |  |  |  |  |  |  |
|--------|-----------------------------|--|-----------------------------|--|-----------------------------|--|--|--|--|--|--|--|
| Result |                             |  |                             |  |                             |  |  |  |  |  |  |  |

#### 2.2. Programmatic Performance

##### 2.2.1. Reporting Periods

|     | Period 1               | Period 2               | Period 3               | Period 4               | Period 5               | Period 6               | Period 7               | Period 8               |
|-----|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| N/A | 01.Jan.10<br>31.Mar.10 | 01.Apr.10<br>30.Jun.10 | 01.Jul.10<br>30.Sep.10 | 01.Oct.10<br>31.Dec.10 | 01.Jan.11<br>31.Mar.11 | 01.Apr.11<br>30.Jun.11 | 01.Jul.11<br>30.Sep.11 | 01.Oct.11<br>31.Dec.11 |

##### 2.2.2. Program Objectives, Service Delivery Areas and Indicators

ZIM-809-G11-H

Last Updated on: 28 June 2011

### Objective 1 - To increase adoption of safer sexual behaviour and reduction in risk behaviour (National BC Strategy Outcome Area 2)

#### Prevention: Behavioral Change Communication - Mass Media

Indicator 1.1 - Percentage of people reached with HIV related preventive messages through mass media who have Comprehensive correct knowledge.

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|----------|------|----------------------------|------------------------------|
|                        | Value    | Year |                            |                              |
| Level 3-People reached | 0        | 2006 | N                          | N                            |

|        | Period 1         | Period 2         | Period 3         | Period 4           | Period 5         | Period 6         | Period 7         | Period 8           |
|--------|------------------|------------------|------------------|--------------------|------------------|------------------|------------------|--------------------|
| Target | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: 50% | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: 75% |
| Result | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: 63% | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: % | N:<br>D:<br>P: %   |

#### Prevention: Behavioral Change Communication - Community Outreach

Indicator 1.2 - Number of people reached through community outreach programs promoting HIV prevention, including interpersonal communication (person exposures)

|                        | Baseline  |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|-----------|------|----------------------------|------------------------------|
|                        | Value     | Year |                            |                              |
| Level 3-People reached | 2,600,000 | 2009 | Y                          | N                            |

|        | Period 1 | Period 2  | Period 3  | Period 4 | Period 5  | Period 6  | Period 7  | Period 8  |
|--------|----------|-----------|-----------|----------|-----------|-----------|-----------|-----------|
| Target | 650,000  | 650,000   | 650,000   | 780,000  | 910,000   | 1,040,000 | 1,170,000 | 1,300,000 |
| Result | 938,874  | 1,065,642 | 1,124,630 | 883,328  | 1,351,828 |           |           |           |

Indicator 1.4 - Number of persons trained in HIV-related community mobilization for prevention, care, treatment and support, including refresher training.

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|----------|------|----------------------------|------------------------------|
|                        | Value    | Year |                            |                              |
| Level 1-People trained | 825      | 2006 | Y                          | Y                            |

|        | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|
| Target | 1,806    | 1,975    | 2,039    | 1,890    | 1,831    | 2,051    | 2,089    | 1,916    |
| Result | 18       | 2,528    | 2,802    | 2,882    | 2,602    |          |          |          |

#### Community outreach and schools

Indicator 1.3 - Number of people reached through community outreach programs promoting HIV prevention including interpersonal communication (community course completed)

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|----------|------|----------------------------|------------------------------|
|                        | Value    | Year |                            |                              |
| Level 3-People reached | 35000    | 2009 | Y                          | Y                            |

|        | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|
| Target | 30,000   | 30,000   | 30,000   | 30,000   | 36,000   | 42,000   | 48,000   | 54,000   |
| Result | 32,333   | 38,837   | 34,974   | 27,920   | 41,927   |          |          |          |

**ZIM-809-G11-H**

*Last Updated on: 28 June 2011*

**Objective 2 - To increase the number of children (18months- 15 years) and adults who have been tested for HIV, including receiving results**

**Prevention: Counseling and testing**

Indicator 2.1 - Number people who received HIV counseling and testing and received their results

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|----------|------|----------------------------|------------------------------|
|                        | Value    | Year |                            |                              |
| Level 3-People reached | 579,767  | 2007 | Y                          | N                            |

|        | Period 1 | Period 2 | Period 3 | Period 4  | Period 5 | Period 6 | Period 7 | Period 8  |
|--------|----------|----------|----------|-----------|----------|----------|----------|-----------|
| Target | 207,000  | 426,500  | 657,500  | 900,000   | 248,000  | 503,000  | 770,000  | 1,050,000 |
| Result | 197,818  | 437,254  | 682,809  | 1,381,901 | 443,950  |          |          |           |

ZIM-809-G11-H

Last Updated on: 28 June 2011

**Objective 3 - To reduce transmission of HIV from mother to child by providing comprehensive PMTCT services**

**Prevention: PMTCT**

Indicator 3.1 - Number and % of HIV-infected pregnant women who received ARV prophylaxis to reduce the risk of mother-to-child transmission of HIV

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|------------------------|----------|------|----------------------------|------------------------------|
|                        | Value    | Year |                            |                              |
| Level 3-People reached | 49%      | 2009 | Y                          | N                            |

|        | Period 1           | Period 2                        | Period 3           | Period 4           | Period 5                        | Period 6           | Period 7           | Period 8           |
|--------|--------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|--------------------|--------------------|
| Target | N:<br>D:<br>P: 16% | N:<br>D:<br>P: 32%              | N:<br>D:<br>P: 48% | N:<br>D:<br>P: 63% | N:<br>D:<br>P: 17%              | N:<br>D:<br>P: 35% | N:<br>D:<br>P: 52% | N:<br>D:<br>P: 69% |
| Result | N:<br>D:<br>P: 18% | N: 5,426<br>D: 18,208<br>P: 30% | N:<br>D:<br>P: 56% | N:<br>D:<br>P: 85% | N: 8,899<br>D: 47,494<br>P: 19% | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   | N:<br>D:<br>P: %   |

ZIM-809-G11-H

Last Updated on: 28 June 2011

**Objective 4 - To expand provision of comprehensive HIV and AIDS care, treatment and support including ART services in public and private sector facilities****Treatment: Antiretroviral treatment (ARV) and monitoring**

Indicator 4.1 - Number of people (adults and children) with advanced HIV infection receiving antiretroviral therapy

|                        | Baseline |         | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |          |          |          |          |          |          |          |          |  |  |  |  |  |
|------------------------|----------|---------|----------------------------|------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
|                        | Value    | Year    |                            |                              | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |  |  |  |  |  |
| Level 3-People reached | 147,804  | 2008    | Y                          | N                            |          |          |          |          |          |          |          |          |  |  |  |  |  |
| Target                 | 221,500  | 234,250 | 247,000                    | 259,750                      | 272,500  | 280,667  | 288,834  | 297,000  |          |          |          |          |  |  |  |  |  |
| Result                 | 237,108  | 243,683 | 282,916                    | 230,219                      | 347,349  |          |          |          |          |          |          |          |  |  |  |  |  |

**HSS: Health Workforce**

Indicator 4.2 - Number of health care personnel trained in comprehensive HIV and AIDS management (C&amp;T, PITC, PMTCT,HIV/TB, ART/OI, )

|                        | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |          |          |          |          |          |          |          |          |  |  |  |  |  |
|------------------------|----------|------|----------------------------|------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|--|
|                        | Value    | Year |                            |                              | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |  |  |  |  |  |
| Level 1-People trained | 1200     | 2009 | Y                          | Y                            |          |          |          |          |          |          |          |          |  |  |  |  |  |
| Target                 | 215      | 990  | 880                        | 755                          | 940      | 940      | 705      | 640      |          |          |          |          |  |  |  |  |  |
| Result                 | 43       | 396  | 1,799                      | 968                          | 851      |          |          |          |          |          |          |          |  |  |  |  |  |

**ZIM-809-G11-H**

*Last Updated on: 28 June 2011*

**Objective 5 - Strengthen the involvement of communities in the provision of ART service**

**Care and Support: Care and support for the chronically ill**

**Indicator 5.1 - Number of PLWHIV receiving care and support services outside facility or community level (by age and sex)**

|                        | Baseline |       | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |          |          |          |          |          |          |          |          |  |  |  |  |
|------------------------|----------|-------|----------------------------|------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|--|--|
|                        | Value    | Year  |                            |                              | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |  |  |  |  |
| Level 3-People reached | 124,655  | 2008  | Y                          | N                            |          |          |          |          |          |          |          |          |  |  |  |  |
| Target                 |          | 6,000 | 6,000                      | 8,000                        | 4,800    | 1,500    | 1,400    | 1,100    |          |          |          |          |  |  |  |  |
| Result                 |          | 6,545 | 4,582                      | 4,449                        | 6,707    |          |          |          |          |          |          |          |  |  |  |  |

ZIM-809-G11-H

Last Updated on: 28 June 2011

**Objective 6 - To strengthen national institutional capacity to coordinate OVC interventions****Care and Support: Support for orphans and vulnerable children**

Indicator 6.1 - Number of ward level child protection committees making use of the village register in monitoring OVC interventions

|                                  | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |          |          |          |          |          |          |          |          |  |  |
|----------------------------------|----------|------|----------------------------|------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|--|--|
|                                  | Value    | Year |                            |                              | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |  |  |
| Level 2-Service Points supported | 1626     | 2006 | N                          | N                            |          |          |          |          |          |          |          |          |  |  |
| Target                           | 110      | 220  | 330                        | 440                          | 550      | 660      | 770      | 880      |          |          |          |          |  |  |
| Result                           | 0        | 0    | 569                        | 981                          | 1,389    |          |          |          |          |          |          |          |  |  |

**Objective 7 - To strengthen mechanisms for coordination, collaboration and accountability among PLHIV networks in the mainstreaming of MIPA within the national response.**

**Supportive Environment: Strengthening of Civil Society**

Indicator 7.1 - Nbr of PLWHA support groups with institutional capacity

|                                  | Baseline |      | Is Top 10 indicator? (Y/N) | Is Training indicator? (Y/N) |
|----------------------------------|----------|------|----------------------------|------------------------------|
|                                  | Value    | Year |                            |                              |
| Level 2-Service Points supported | 38       | 2010 | N                          | N                            |

|        | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|
| Target |          |          |          | 100      |          |          |          | 300      |
| Result |          |          |          | 105      |          |          |          |          |

#### 2.2.3. Cumulative Progress To Date

Latest reporting due period : 4 (01.Oct.10 - 31.Dec.10)

**Objective 1** To increase adoption of safer sexual behaviour and reduction in risk behaviour (National BC Strategy Outcome Area 2)

**SDA** Prevention: Behavioral Change Communication - Mass Media

**Indicator 1.1 - Percentage of people reached with HIV related preventive messages through mass media who have Comprehensive correct knowledge.**

|                        | Target |                     | Result |                     | Progress (%) |     |     |     |      |
|------------------------|--------|---------------------|--------|---------------------|--------------|-----|-----|-----|------|
|                        | Period | Value               | Period | Value               | 0%           | 30% | 60% | 90% | 100% |
| Level 3-People reached | 4      | N:<br>D:<br>P: 50 % | 4      | N:<br>D:<br>P: 63 % | 120%         |     |     |     |      |

**SDA** Prevention: Behavioral Change Communication - Community Outreach

**Indicator 1.2 - Number of people reached through community outreach programs promoting HIV prevention, including interpersonal communication (person exposures)**

|                        | Target |         | Result |           | Progress (%) |     |     |     |      |
|------------------------|--------|---------|--------|-----------|--------------|-----|-----|-----|------|
|                        | Period | Value   | Period | Value     | 0%           | 30% | 60% | 90% | 100% |
| Level 3-People reached | 5      | 910,000 | 5      | 1,351,828 | 120%         |     |     |     |      |

**Indicator 1.4 - Number of persons trained in HIV-related community mobilization for prevention, care, treatment and support, including refresher training.**

|                        | Target |       | Result |       | Progress (%) |     |     |     |      |
|------------------------|--------|-------|--------|-------|--------------|-----|-----|-----|------|
|                        | Period | Value | Period | Value | 0%           | 30% | 60% | 90% | 100% |
| Level 1-People trained | 5      | 1,831 | 5      | 2,602 | 120%         |     |     |     |      |

**SDA** Community outreach and schools

**Indicator 1.3 - Number of people reached through community outreach programs promoting HIV prevention including interpersonal communication (community course completed)**

|                        | Target |        | Result |        | Progress (%) |     |     |     |      |
|------------------------|--------|--------|--------|--------|--------------|-----|-----|-----|------|
|                        | Period | Value  | Period | Value  | 0%           | 30% | 60% | 90% | 100% |
| Level 3-People reached | 5      | 36,000 | 5      | 41,927 | 116%         |     |     |     |      |

**Objective 2** To increase the number of children (18months- 15 years) and adults who have been tested for HIV, including receiving results

**SDA** Prevention: Counseling and testing

**Indicator 2.1 - Number people who received HIV counseling and testing and received their results**

|                        | Target |         | Result |         | Progress (%) |     |     |     |      |
|------------------------|--------|---------|--------|---------|--------------|-----|-----|-----|------|
|                        | Period | Value   | Period | Value   | 0%           | 30% | 60% | 90% | 100% |
| Level 3-People reached | 5      | 248,000 | 5      | 443,950 | 120%         |     |     |     |      |

**Objective 3** To reduce transmission of HIV from mother to child by providing comprehensive PMTCT services

**SDA** Prevention: PMTCT

**Indicator 3.1 - Number and % of HIV-infected pregnant women who received ARV prophylaxis to reduce the risk of mother-to-child transmission of HIV**

|                        | Target |                     | Result |                                    | Progress (%) |     |     |     |      |
|------------------------|--------|---------------------|--------|------------------------------------|--------------|-----|-----|-----|------|
|                        | Period | Value               | Period | Value                              | 0%           | 30% | 60% | 90% | 100% |
| Level 3-People reached | 5      | N:<br>D:<br>P: 17 % | 5      | N: 8,899<br>D: 47,494<br>P: 18.7 % | 110%         |     |     |     |      |

|   |  |              |               |              |           |            |            |            |             |      |
|---|--|--------------|---------------|--------------|-----------|------------|------------|------------|-------------|------|
| <b>Objective 4</b>  | To expand provision of comprehensive HIV and AIDS care, treatment and support including ART services in public and private sector facilities |              |               |              |           |            |            |            |             |      |
| <b>SDA</b>  | Treatment: Antiretroviral treatment (ARV) and monitoring   |              |               |              |           |            |            |            |             |      |
| <b>Indicator 4.1 - Number of of people (adults and children) with advanced HIV infection receiving anterotroviral therapy</b> |  |              |               |              |           |            |            |            |             |      |
|   | <b>Target</b>  |              | <b>Result</b> |              |           |            |            |            |             |      |
|   | <b>Period</b>  | <b>Value</b> | <b>Period</b> | <b>Value</b> | <b>0%</b> | <b>30%</b> | <b>60%</b> | <b>90%</b> | <b>100%</b> |      |
| Level 3-People reached  | 5  | 272,500      | 5             | 347,349      |           |            |            |            |             | 120% |

|   |                       |              |               |              |           |            |            |            |             |     |
|---|-----------------------|--------------|---------------|--------------|-----------|------------|------------|------------|-------------|-----|
| <b>SDA</b>  | HSS: Health Workforce |              |               |              |           |            |            |            |             |     |
| <b>Indicator 4.2 - Number of health care personnel trained in comprehensive HIV and AIDS management (C&amp;T, PITC, PMTCT,HIV/TB, ART/OI, )</b> |                       |              |               |              |           |            |            |            |             |     |
|   | <b>Target</b>         |              | <b>Result</b> |              |           |            |            |            |             |     |
|   | <b>Period</b>         | <b>Value</b> | <b>Period</b> | <b>Value</b> | <b>0%</b> | <b>30%</b> | <b>60%</b> | <b>90%</b> | <b>100%</b> |     |
| Level 1-People trained  | 5                     | 940          | 5             | 851          |           |            |            |            |             | 91% |

|  |   |              |               |              |           |            |            |            |             |      |
|--|---|--------------|---------------|--------------|-----------|------------|------------|------------|-------------|------|
| <b>Objective 5</b>   | Strengthen the involvement of communities in the provision of ART service |              |               |              |           |            |            |            |             |      |
| <b>SDA</b>   | Care and Support: Care and support for the chronically ill                |              |               |              |           |            |            |            |             |      |
| <b>Indicator 5.1 - Number of PLWHIV receiving care and support services outside facility or community level (by age and sex)</b> |   |              |               |              |           |            |            |            |             |      |
|  | <b>Target</b>   |              | <b>Result</b> |              |           |            |            |            |             |      |
|  | <b>Period</b>   | <b>Value</b> | <b>Period</b> | <b>Value</b> | <b>0%</b> | <b>30%</b> | <b>60%</b> | <b>90%</b> | <b>100%</b> |      |
| Level 3-People reached   | 5   | 4,800        | 5             | 6,707        |           |            |            |            |             | 120% |

|  |   |              |               |              |           |            |            |            |             |      |
|--|---|--------------|---------------|--------------|-----------|------------|------------|------------|-------------|------|
| <b>Objective 6</b>   | To strengthen national institutional capacity to coordinate OVC interventions |              |               |              |           |            |            |            |             |      |
| <b>SDA</b>   | Care and Support: Support for orphans and vulnerable children                 |              |               |              |           |            |            |            |             |      |
| <b>Indicator 6.1 - Number of ward level child protection committees making use of the village register in monitoring OVC interventions</b> |   |              |               |              |           |            |            |            |             |      |
|  | <b>Target</b>   |              | <b>Result</b> |              |           |            |            |            |             |      |
|  | <b>Period</b>   | <b>Value</b> | <b>Period</b> | <b>Value</b> | <b>0%</b> | <b>30%</b> | <b>60%</b> | <b>90%</b> | <b>100%</b> |      |
| Level 2-Service Points supported   | 5   | 550          | 5             | 1,389        |           |            |            |            |             | 120% |

|  |   |              |               |              |           |            |            |            |             |      |
|--|---|--------------|---------------|--------------|-----------|------------|------------|------------|-------------|------|
| <b>Objective 7</b>   | To strengthen mechanisms for coordination, collaboration and accountability among PLHIV networks in the mainstreaming of MIPA within the national response. |              |               |              |           |            |            |            |             |      |
| <b>SDA</b>   | Supportive Environment: Strengthening of Civil Society  |              |               |              |           |            |            |            |             |      |
| <b>Indicator 7.1 - Nbr of PLWHA support groups with institutional capacity</b> |   |              |               |              |           |            |            |            |             |      |
|  | <b>Target</b>   |              | <b>Result</b> |              |           |            |            |            |             |      |
|  | <b>Period</b>   | <b>Value</b> | <b>Period</b> | <b>Value</b> | <b>0%</b> | <b>30%</b> | <b>60%</b> | <b>90%</b> | <b>100%</b> |      |
| Level 2-Service Points supported   | 4   | 100          | 4             | 105          |           |            |            |            |             | 105% |

## 2.3. Financial Performance

## 2.3.1. Grant Financial Key Performance Indicators (KPIs)

|   |           |                              |               |
|---|-----------|------------------------------|---------------|
| Grant Duration (months)                             | 24 months | Grant Amount                 | 84,641,215 \$ |
| % Time Elapsed (as of end date of the latest PU)    | 63%       | % disbursed by TGF (to date) | 68%           |
| Time Remaining (as of end date of the latest PU)    | 9 months  | Disbursed by TGF (to date)   | 57,906,038 \$ |
| Expenditures Rate (as of end date of the latest PU) | 81%       | Funds Remaining (to date)    | 26,735,177 \$ |

## 2.3.2. Program Budget

|                            | Budget Period 1 | Budget Period 2 | Budget Period 3 | Budget Period 4 | Budget Period 5 | Budget Period 6 | Budget Period 7 | Budget Period 8 |
|----------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Period Covered From:       | 01.Jan.10       | 01.Apr.10       | 01.Jul.10       | 01.Oct.10       | 01.Jan.11       | 01.Apr.11       | 01.Jul.11       | 01.Oct.11       |
| Period Covered To:         | 31.Mar.10       | 30.Jun.10       | 30.Sep.10       | 31.Dec.10       | 31.Mar.11       | 30.Jun.11       | 30.Sep.11       | 31.Dec.11       |
| Currency:                  | USD             | USD             | USD             | USD             | USD             | USD             | USD             | USD             |
| Cumulative Budget Through: | 13,778,731      | 22,091,577      | 30,075,070      | 53,162,194      | 64,825,629      | 70,748,906      | 79,407,123      | 84,641,215      |
| Summary Period Budget:     | 13,778,731      | 8,312,846       | 7,983,493       | 23,087,124      | 11,663,435      | 5,923,277       | 8,658,217       | 5,234,091       |

## Expenditure Categories

## Program Activities

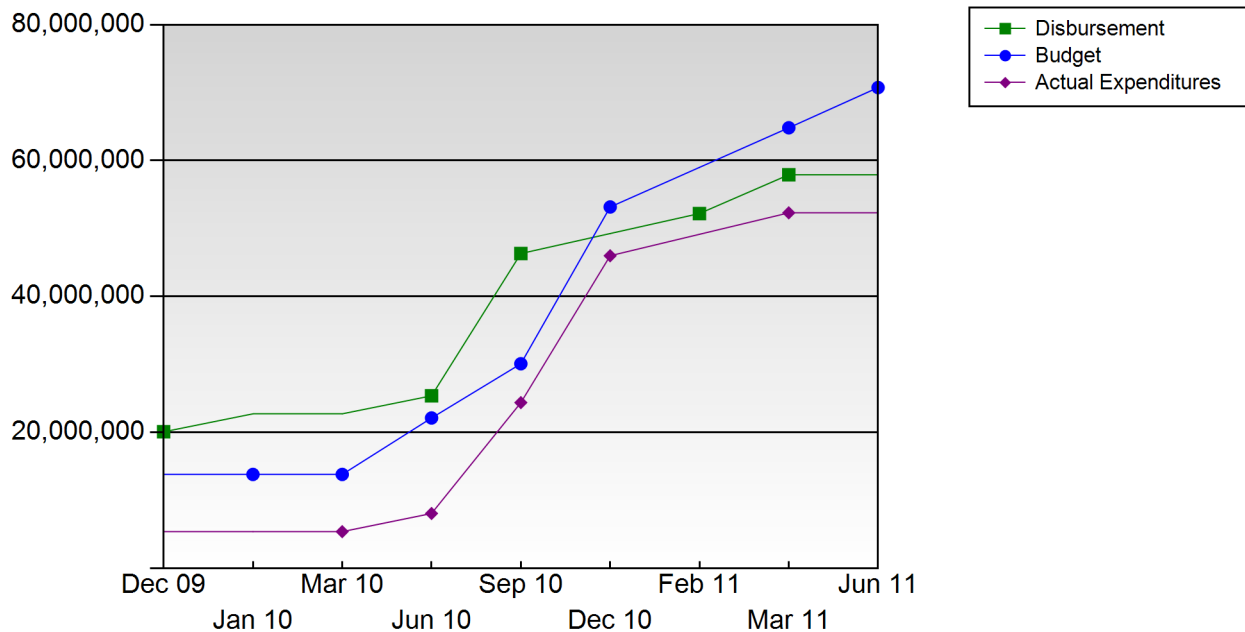
## Implementing Entities

## - Comments and additional information

## 2.3.3. Program Expenditures

| Period PU5: 01.Jan.11 - 31.Mar.11   | Actual Expenditures | Cumulative Budget | Cumulative Expenditures | Variance      | Reason for variance  |
|---|---------------------|-------------------|-------------------------|---------------|--|
| <b>1. Total actual expenditures vs. budget</b>  | \$ 6,322,104        | \$ 64,825,629     | \$ 52,301,678           | \$ 12,523,951 | The cumulative variance is primarily due to the following reasons:   |
| 1a. PR's Total expenditure  | \$ 2,502,441        |                   | \$ 36,515,676           |               |  |
| 1b. Disbursements to sub-recipients   | \$ 3,819,663        |                   | \$ 15,786,002           |               |  |
| <b>2. Health product expenditures vs. Budget (already included in "Total Actual" above)</b> | \$ 1,058,619        |                   | \$ 26,560,868           |               | i. PMU cost due to late recruitments.<br>ii. GMS payment<br>iii. Initial procurement delays and related payments to be made by the PR. |
| 2a. Pharmaceuticals   |                     |                   | \$ 16,672,568           |               |  |
| 2b. Health products, commodities and equipment  | \$ 1,058,619        |                   | \$ 9,888,301            |               |  |

## 2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



2.4. Progress Update and Disbursement Information

| Rating | Description                           |
|--------|---------------------------------------|
| A1     | Exceeding expectations                |
| A2     | Meeting expectations                  |
| B1     | Adequate                              |
| B2     | Inadequate but potential demonstrated |
| C      | Unacceptable                          |

| Progress Updates    |                       |  |            |    | Disbursement Information  |            |                     |                   |  |
|---------------------|-----------------------|--|------------|----|---|------------|---------------------|-------------------|--|
| PU                  | PU Period             |  | TGF Rating | DR | DR Period Covered   | PR Request | Disbursement Amount | Disbursement Date |  |
| 0                   | 01.Jan.10 -           |  | N/A        | 1  | 01.Jan.10 - 30.Jun.10   | 21,804,032 | \$ 20,053,712       | 16 Dec 2009       |  |
| Summary of Progress |                       |  |            |    | Reasons for variance between PR Request and Actual Disbursement |            |                     |                   |  |
|                     |                       |  |            |    |   |            |                     |                   |  |
| Progress Updates    |                       |  |            |    | Disbursement Information  |            |                     |                   |  |
| PU                  | PU Period             |  | TGF Rating | DR | DR Period Covered   | PR Request | Disbursement Amount | Disbursement Date |  |
| 1                   | 01.Jan.10 - 31.Mar.10 |  | B1         | 2  | 01.Apr.10 - 30.Sep.10   | 12,652,223 | \$ 5,301,729        | 24 Jun 2010       |  |
| Summary of Progress |                       |  |            |    | Reasons for variance between PR Request and Actual Disbursement |            |                     |                   |  |
|                     |                       |  |            |    |   |            |                     |                   |  |
| Progress Updates    |                       |  |            |    | Disbursement Information  |            |                     |                   |  |
| PU                  | PU Period             |  | TGF Rating | DR | DR Period Covered   | PR Request | Disbursement Amount | Disbursement Date |  |
| 2                   | 01.Apr.10 - 30.Jun.10 |  | B1         | 3  | 01.Jul.10 - 29.Dec.10   | 28,334,287 | \$ 20,949,045       | 21 Sep 2010       |  |
| Summary of Progress |                       |  |            |    | Reasons for variance between PR Request and Actual Disbursement |            |                     |                   |  |
|                     |                       |  |            |    |   |            |                     |                   |  |

ZIM-809-G11-H

Last Updated on: 28 June 2011

| Progress Updates    |                       |  |            |     | Disbursement Information   |            |                     |                   |  |
|---------------------|-----------------------|--|------------|-----|--|------------|---------------------|-------------------|--|
| PU                  | PU Period             |  | TGF Rating | DR  | DR Period Covered  | PR Request | Disbursement Amount | Disbursement Date |  |
| 3                   | 01.Jul.10 - 30.Sep.10 |  | A1         | 4   | 01.Oct.10 - 29.Jun.11  | 15,597,749 |                     | N/A               |  |
| Summary of Progress |                       |  |            |     | Reasons for variance between PR Request and Actual Disbursement  |            |                     |                   |  |
|                     |                       |  |            |     |  |            |                     |                   |  |
| Progress Updates    |                       |  |            |     | Disbursement Information   |            |                     |                   |  |
| PU                  | PU Period             |  | TGF Rating | DR  | DR Period Covered  | PR Request | Disbursement Amount | Disbursement Date |  |
| 3                   | 01.Jul.10 - 30.Sep.10 |  | A1         | 4.1 | 01.Oct.10 - 30.Jun.11  | 17,253,090 | \$ 5,893,729        | 08 Feb 2011       |  |
| Summary of Progress |                       |  |            |     | Reasons for variance between PR Request and Actual Disbursement  |            |                     |                   |  |
|                     |                       |  |            |     | This disbursement only includes funds for one quarter (quarter 5). Funds for the buffer period (quarter 6) will be processed upon receipt of the quarter 4 PUDR. This explains the variance between the GF disbursed amount and the PR requested amount. |            |                     |                   |  |
| Progress Updates    |                       |  |            |     | Disbursement Information   |            |                     |                   |  |
| PU                  | PU Period             |  | TGF Rating | DR  | DR Period Covered  | PR Request | Disbursement Amount | Disbursement Date |  |
| 4                   | 01.Oct.10 - 31.Dec.10 |  | B1         | 5   | 01.Apr.11 - 30.Jun.11  | 12,044,307 | \$ 5,707,824        | 25 Mar 2011       |  |
| Summary of Progress |                       |  |            |     | Reasons for variance between PR Request and Actual Disbursement  |            |                     |                   |  |
|                     |                       |  |            |     | This disbursement covers the buffer period of April-June 2011 only. Its an extension of disbursement number 4.1.   |            |                     |                   |  |
| Progress Updates    |                       |  |            |     | Disbursement Information   |            |                     |                   |  |
| PU                  | PU Period             |  | TGF Rating | DR  | DR Period Covered  | PR Request | Disbursement Amount | Disbursement Date |  |
| 5                   | 01.Jan.11 - 31.Mar.11 |  | A1         | 7   | 01.Apr.11 - 29.Sep.11  | 2,221,030  |                     | N/A               |  |
| Summary of Progress |                       |  |            |     | Reasons for variance between PR Request and Actual Disbursement  |            |                     |                   |  |
|                     |                       |  |            |     |  |            |                     |                   |  |

## 2.5. Contextual Information

| Title | Explanatory Notes |
|-------|-------------------|
|       |                   |

## Time-bound Actions

| Issues | Description |
|--------|-------------|
|        |             |

